



2644 #4 6/25/02

Patent  
Atty Docket No. 152934-0019

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

**Lawrence R. FINCHAM**

**Serial No.:** 10/074,604 ✓

**Filed:** February 11, 2002

**For:** SOUND SYSTEM AND METHOD  
OF SOUND REPRODUCTION

)  
) **Group Art Unit:** Not yet assigned  
)

) **Examiner:** Not yet assigned  
)  
)  
)  
)  
)  
)  
)  
)  
)  
)

**RECEIVED**  
MAY 13 2002  
Technology Center 2600

**INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 CFR §§ 1.97 and 1.98, this Information Disclosure Statement is submitted for the purpose of bringing to the attention of the Office the items listed in the accompanying Form PTO-1449. Copies of the listed items are provided for the convenience of the Examiner. The Examiner is requested to consider and make these items of information of official record in the Application.

The items identified in this Information Disclosure Statement (IDS) may or may not be "material" pursuant to 37 CFR §1.56 and the submission thereof by Applicants shall not be construed as an admission that any such patent, publication or other information referred to therein, or any combination thereof, is material or considered to be material (37 CFR §1.97(h)) or even qualifies as "prior art" under 35 USC §102 or §103 with respect to this invention unless specifically designated by Applicant as such. Dates listed on the accompanying Form-1449 have in some cases been copied from the text of the submitted items and are not guaranteed to reflect

---

**CERTIFICATE OF MAILING**  
(37 C.F.R. §1.8a)

I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as First Class Mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

May 6, 2002  
Date of Deposit

502169.01 05

*Connie Kwon*  
Connie Kwon


accurate publication dates, nor is the listing of alleged dates of posting or publication intended as an admission regarding actual posting or publication dates.

Furthermore, the submission of the above listed items is not to be construed as a representation that an exhaustive search, or any search, has been made, or that additional information material to the examination of this Application does not exist.

This IDS is believed to be timely in that it is being submitted under 37 CFR §1.97(b)(1) and (3), that is, within the first three months of filing and before the first Office Action on the merits. Thus, no petition or fee is required. However, if the undersigned representative of Applicant is in error in this regard, then the Examiner is requested to consider this IDS as filed under §1.97(c) and is further authorized to charge any fee required by its filing to Deposit Account No. 09-0946.

Respectfully Submitted,

Dated: May 6, 2002

  
Christopher A. Vanderlaan  
Registration No. 37,747

**IRELL & MANELLA LLP**  
1800 Avenue of the Stars, Suite 900  
Los Angeles, CA 90067  
Telephone: (310) 277-1010  
Facsimile: (310) 203-7199